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DFW

May 20, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Re: U.S. Patent Application No.: 10/635818  
For: *COMPOSITIONS AND METHODS FOR NON-TARGETED ACTIVATION  
OF ENDOGENOUS GENES*  
Inventors: Harrington, John J. *et al.*  
Filed: August 5, 2003  
Our Ref. No.: ATX-007CP4DV13CN

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Supplemental Information Disclosure Statement;
2. PTO Form SB-08;
3. Copies of issued U.S. patents cited in PTO Form SB-08; and
4. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement. However, please charge any other necessary fees due in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

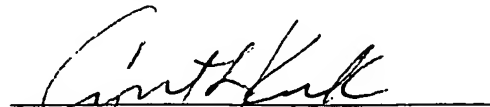
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May 20, 2004

Date

Cynthia L. Kanik, Ph.D., Registration No. 37,320

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

  
Cynthia L. Kanik, Ph.D.  
Registration No. 37,320  
Attorney for Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Harrington, John J. *et al.*

Serial No.: 10/635818

Filed: August 5, 2003

For: *COMPOSITIONS AND METHODS FOR NON-TARGETED ACTIVATION OF ENDOGENOUS GENES*

Attorney Docket No.: ATX-007CP4DV13CN

Group Art Unit: 1645

Examiner: Not Yet Assigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Certificate of First Class Mailing (37 CFR §1.8(a))

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Date of Signature and of Mail Deposit

By:

Cynthia L. Kanik, Ph.D.

Reg. No. 37,320

Attorney for Applicants

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

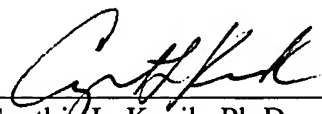
Applicants and their Attorney are aware of the following additional publications, listed on the attached PTO Form SB-08, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. Copies of cited U.S. patents (Reference B1-B8) are enclosed with this statement. Copies of cited U.S. publications (Reference ID Nos. C1-C6), however, are not enclosed with this

statement. If the Examiner requires copies of those pending applications, Applicants will supply them to the Examiner.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this supplemental Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

  
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CL/DLN/alf  
Enclosures

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



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|   |   |    |   | Application Number       | 10/635818        |
|   |   |    |   | Filing Date              | August 5, 2003   |
|   |   |    |   | First Named Inventor     | Harrington, J.J. |
|   |   |    |   | Art Unit                 | 1645             |
|   |   |    |   | Examiner Name            | Not Yet Assigned |
|   |   |    |   | Attorney Docket Number   | ATX-007CP4DV13CN |
| Sheet   | 2 | of | 2 |                          |                  |

[illegible]

| FOREIGN PATENT DOCUMENTS |                       |   |                                |   |   |                |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |   |   |                |
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